



P1085R10



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UNITED STATES DEPARTMENT OF COMMERCE
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JANUARY 03, 2001

PTAS

GENENTECH, INC.
RICHARD B. LOVE
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080



101507771A

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TECH CENTER 1600/2900

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/03/2000

REEL/FRAME: 011202/0462
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HSEI, VANESSA

DOC DATE: 04/05/2000

ASSIGNOR:

KOUMENIS, IPHIGENIA

DOC DATE: 04/03/2000

ASSIGNOR:

LEONG, STEVEN

DOC DATE: 04/03/2000

ASSIGNOR:

SHAHROKH, ZAHRA

DOC DATE: 04/09/2000

ASSIGNOR:

ZAPATA, GERARDO

DOC DATE: 05/10/2000

ASSIGNEE:

GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CALIFORNIA

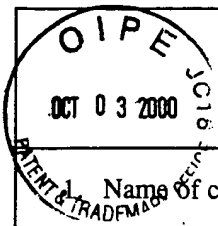
94080-4990

011202/0462 PAGE 2

SERIAL NUMBER: 09489394
PATENT NUMBER:

FILING DATE: 01/21/2000
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



11-07-2000



101507771

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt No: P1085R6

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nal documents or copy thereof.

Assistant Co:

1. Name of conveying party

Vanessa Hsei
Iphigenia Koumenis
Steven Leong
Zahra Shahrokh
Gerardo Zapata

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) of conveying party(ies) attached? Yes X No

Additional name(s) & address(es) attached? Yes X No

3. Nature of conveyance:

X Assignment

Execution Date: 4/3/00, 4/05/00, 4/09/00, 5/10/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Ser. No. 09/489,394

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard B. Love
GENENTECH, INC.
1 DNA Way
South San Francisco, CA 94080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

 Enclosed

X Authorized to be charged to deposit account

8. Deposit account number:

07-0630

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard B. Love
Name of Person Signing
Registration No. 34,659

Richard B. Love
Signature

September 24, 2000
Date

Total number of pages comprising cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents

Box: Assignments

Washington, DC 20231

Revised (10/11/95)

09489394
070630
000017
11/06/2000
01 FC:581

ASSIGNMENT

WHEREAS, Vanessa Hsei, a citizen of USA, residing at 5047 Capistrano Ave, San Jose, CA 95129, and Iphigenia Koumenis, a citizen of USA, residing at 3421 York Road, Winston-Salem, NC 27104, and Steven Leong, a citizen of USA, residing at 1914 Eldorado Ave, Berkeley, CA 94707, and Zahra Shahrokh, a citizen of USA, residing at 24 Sotelo Ave San Francisco CA 94116, and Gerardo Zapata, a citizen of USA, residing at 785 Widgeon St. Foster, City CA 94404 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

ANTIBODY FRAGMENT-POLYMER CONJUGATES AND USES OF SAME

for which an application Serial No. 09/489,394 (Docket No. P1085R6) for Letters Patent has been filed by them on 21 January 2000; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: 4/03/2000



Iphigenia Koumenis

South San Francisco

Dated: _____

Steven Leong

South San Francisco

Dated: _____

Zahra Shahrokh

South San Francisco

Dated: _____

Gerardo Zapata

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: 4-3-00


Steven Leong

South San Francisco

Dated: _____

Zahra Shahrokh

South San Francisco

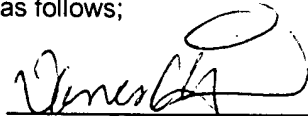
Dated: _____

Gerardo Zapata

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 4/5/00



Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: _____

Steven Leong

South San Francisco

Dated: 4/9/00



Zahra Shahrokh

South San Francisco

Dated: _____

Gerardo Zapata

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: _____

Steven Leong


South San Francisco

Dated: _____

Zahra Shahrokh

South San Francisco

Dated: May 10, 2020



Gerardo Zapata



RB4EC
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 06, 2001

P1085R3

PTAS



101598893A

GENENTECH, INC.
RICHARD B. LOVE
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

APR 18 2001

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 01/16/2001

REEL/FRAME: 011458/0859
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HSEI, VANESSA

DOC DATE: 04/03/2000

ASSIGNOR:

KOUMENIS, IPHIGENIA

DOC DATE: 04/03/2000

ASSIGNOR:

LEONG, STEVEN R.

DOC DATE: 04/05/2000

ASSIGNOR:

PRESTA, LEONARD

DOC DATE: 04/09/2000

ASSIGNOR:

SHAHROKH, ZAHRA



DOC DATE: 09/25/2000

ASSIGNEE:

GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

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SEP 29 2003
TECH CENTER 1600/2900



011458/0859 PAGE 2

SERIAL NUMBER: 09355014
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-30-2001



Assista

101598893



1. Name of conveying party(ies):

Vanessa Hsei
Iphigenia Koumenis
Steven R. Leong
Leonard Presta
Zahra Shahrokh

Additional name(s) of conveying party(ies) attached? Yes ☒ No

1-16-01

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other:

Execution Date: 4/3/00, 4/3/00, 4/5/00, 4/9/00 & 9/25/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/355,014

B. Patent No.(s)

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard B. Love
GENENTECH, INC.
1 DNA Way
South San Francisco, CA 94080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0630

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard B. Love

Name of Person Signing
Registration No. 34,659

Signature

January 12, 2001

Date

Total number of pages comprising cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:

01/30/2001 GTON11 00000048 070630 09355014 Assistant Commissioner of Patents

01 FE:581

40.00 CH

Box: Assignments
Washington, DC 20231

VER SH
Y

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt No: P1085R3

☒ original documents or copy thereof.

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) & address(es) attached? Yes ☒ No

ASSIGNMENT

WHEREAS, Vanessa Hsei, a citizen of , residing at 5047 Capistrano Ave, San Jose, CA 95129, and Iphigenia Koumenis, a citizen of , residing at 3421 York Road, Winston-Salem, NC 27104, and Steven R. Leong, a citizen of , residing at 1914 Eldorado Ave, Berkeley, CA 94707, and Leonard Presta, a citizen of , residing at 1900 Gough Street 3206, San Francisco, CA 94109, and Zahra Shahrokh, a citizen of , residing at 24 Sotelo Ave, San Francisco, CA 94116 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

for which an application Serial No. 09/355,014 (Docket No. P1085R3) for Letters Patent has been filed by them on July 21, 1999; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: 4-3-00

Steven R. Leong
Steven R. Leong

South San Francisco

Dated: _____

Leonard Presta

South San Francisco

Dated: _____

Zahra Shahrokh

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 4/15/00



Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: _____

Steven R. Leong

South San Francisco

Dated: _____

Leonard Presta

South San Francisco

Dated: 4/9/00



Zahra Shahrokh

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: _____

Iphigenia Koumenis

South San Francisco

Dated: _____

Steven R. Leong

South San Francisco

Dated: 9/25/00

Leonard Presta
Leonard Presta

South San Francisco

Dated: _____

Zahra Shahrokh

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

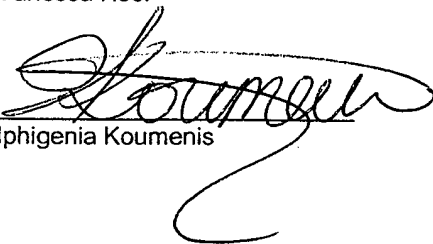
South San Francisco

Dated: _____

Vanessa Hsei

South San Francisco

Dated: 4/03/2000



Iphigenia Koumenis

South San Francisco

Dated: _____

Steven R. Leong

South San Francisco

Dated: _____

Leonard Presta

South San Francisco

Dated: _____

Zahra Shahrokh